

Appendices Research Assessment UMCG

19 April 2022

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1. Programmes of the online site visits

1.1. CRCG

Day 1: CRCG	10 November 2021	Via MS Teams
Time	Topic	Attendees
16:00-16:15	Welcome by Dean of Research	Dean of Research, CRCG committee
16:15-18:00	Preparations and key points	CRCG committee
18:00-18:45	(Dinner) Break	
18.45-19.00	Introduction research institute CRCG	MT CRCG, CRCG committee
19:00-19:45	Meeting and discussion with MT CRCG	MT CRCG, CRCG committee
19:45-20:00	Short break (5 min) and brief deliberation subcommittee (10 min)	CRCG committee
20:00-20:05	Presentation (recorded) program leader DARE	Program leaders, CRCG committee
20:05-20:10	Presentation (recorded) program leader GUTS	Program leaders, CRCG committee
20:10-20:15	Presentation (recorded) program leader SALL	Program leaders, CRCG committee
20:15-20:20	Presentation (recorded) program leader TARGON	Program leaders, CRCG committee
20:20-21:00	Break and Subcommittee discusses preliminary findings	CRCG committee

**Day 2: CRCG (11
November 2021)**

Time	Topic	Attendees
16:00-16:15	Opening and introduction day two	CRCG committee
16:15-17:00	Questions and discussion program leaders research institute CRCG	Program leaders, CRCG committee
17:00-17:15	Short break (5 min) and brief deliberation subcommittee (10 min)	CRCG committee
17:15-18:15	Posters/presentations and talks with (5) postdocs (in Gather Town)	CRCG Postdocs, CRCG committee
18:15-19:00	(Dinner) Break	
19:00-19:30	Meeting and talks with assistant professors (4)	CRCG committee, CRCG assistant professors
19:30-19:40	Short discussion break subcommittee (10 min)	CRCG committee
19:40-20:10	Meeting and talks with associate (3) and full professors (3)	CRCG committee, CRCG associate and full professors
20:10-21:00	Subcommittee discusses preliminary findings day two	CRCG committee

**Day 3: CRCG (12
November)**

Time	Topic	Attendees
16:00-16:15	Welcome by Chair to day three	CRCG committee

16:15-16:45	Questions to MT CRCG on the basis of previous days	CRCG committee, MT CRCG
16:45-17:00	Short break (5 min) and time for discussion subcommittee (10 min)	CRCG committee
17:15-18:00	Drafting and discussing conclusions subcommittee, incl. Willy Spaan	CRCG committee, Prof. Spaan
18:00-18:45	(Dinner) Break	
18.45-20:00	Drafting and discussing conclusions subcommittee, incl. Willy Spaan	CRCG committee, Prof. Spaan
20:00-21:00	Feedback to Dean, Dean of Research and MT, followed by concluding words, incl. Willy Spaan	CRCG committee, Prof. Spaan, MT CRCG, Dean, Dean of Research

1.2. SHARE

Day 1: SHARE (5 december)			
Time	Topic	Parallel Session	Attendees
16:00-16:15	Welcome by Dean of Research		SHARE committee, Dean of Research
16:15-18:00	Preparations and key points		SHARE committee
18:00-18:45	(Dinner) Break		
PARELLEL SESSIONS	Committee: Profs Sabbe, Boivin, van der Windt	Committee: Profs Garrison and Swinnen	SHARE committee

18:45-18:50	Presentation (recorded) program leader HPR	Presentation (recorded) program leader LEARN	SHARE committee
18:50-18:55	Presentation (recorded) program leader LCE	Presentation (recorded) program leader PHR	SHARE committee
18:55-19:00	Presentation (recorded) program leader ROAHD	Presentation (recorded) program leader SMART	SHARE committee
19:00-19:05	Presentation (recorded) program leader ICPE	Presentation (recorded) program leader EXPAND	SHARE committee
19:05-19:10		Presentation (recorded) program leader VALUE	SHARE committee
19:10-19:40	Subcommittee discusses preliminary findings		SHARE committee
19:40-19:50	Break		SHARE committee
19:50-20:05	Preparation next day		SHARE committee

Day 2: SHARE (6 december)			
Time	Topic	Parallel Session	Attendees
15:00-15:15	Introduction research institute SHARE		MT SHARE, SHARE committee
15:15-16:00	Meeting and discussion with MT SHARE		MT SHARE, SHARE committee
16:00-16:15	Short break (5 min) and brief deliberation subcommittee (10 min)		SHARE committee
16:15-17:00	Questions and discussion program leaders research institute SHARE		Program leaders SHARE, SHARE committee

	PARELLEL SESSIONS : Profs Sabbe, Boivin, van der Windt	Profs Garrison and Swinnen	
	HPR, LCE, ROAHD, ICPE	LEARN, PHR, SMART, VALUE, EXPAND	
17:00-17:15	Short break (5 min) and brief deliberation subcommittee (10 min)		SHARE committee
17:15-18:15	Posters/presentations and talks with (5) postdocs (in Gather Town)		SHARE postdocs, SHARE committee
18:15-19:00	(Dinner) Break		
19:00-19:30	Meeting and talks with assistant professors (4)		SHARE Ass.Prof., SHARE Committee
19.30-19:40	Short discussion break subcommittee (10 min)		
19:40-20:10	Meeting and talks with associate professors (3) en professors (3)		SHARE Asc. Prof, SHARE full profs, SHARE committee
20:10-21:00	Subcommittee discusses preliminary findings day two		

Day 3: SHARE (7 december)			
Time	Topic	No parallel sessions	Attendees
16:00-16:15	Welcome by Chairman to day three		SHARE committee
16:15-16:45	Questions to MT SHARE on basis of previous days		MT SHARE, SHARE committee
16:45-17:00	Short break (5 min) and time for discussion subcommittee (10 min)		SHARE committee

17:15-18:00	Drafting and discussing conclusions subcommittee, incl. Willy Spaan	SHARE committee, Chair UMCG committee
18:00-18:45	(Dinner) Break	
18.45-20:00	Drafting and discussing conclusions subcommittee, incl. Willy Spaan	SHARE committee, Chair UMCG committee
20:00-21:00	Feedback to Dean, Dean of Research and MT, followed by concluding words, incl. Willy Spaan	MT SHARE, committee, Dean, Dean of Research, Willy Spaan

1.3. GUIDE

Day 1: GUIDE (29 november)			
Time	Topic	Parallel Sessions	Attendees
16:00-16:15	Welcome by Dean of Research		Pro-Dean, GUIDE-committee
16:15-18:00	Preparations and key points		GUIDE-committee
18:00-18:45	(Dinner) Break		
18.45-19.00	Introduction research institute GUIDE		MT GUIDE, GUIDE-committee
19:00-19:45	Meeting and discussion with MT GUIDE		MT GUIDE, GUIDE-committee
19:45-20:00	Short break (5 min) and brief deliberation subcommittee (10 min)		

	PARALLEL SESSION		
	Committee: Prof Joos & Prof Brouwer	Prof Förstermann & Prof Kaasjager	
20:00-20:05	Presentation (recorded) program leader GRIAC	Presentation (recorded) program leader CLDM	split GUIDE-committee
20:05-20:10	Presentation (recorded) program leader PEGET	Presentation (recorded) program leader CVC	split GUIDE-committee
20:10-20:15	Presentation (recorded) program leader TRIGR	Presentation (recorded) program leader MCB	split GUIDE-committee
20:15-20:20	Presentation (recorded) program leader BDDD	Presentation (recorded) program leader CAPE	split GUIDE-committee
20:20-20:25	Presentation (recorded) program leader MHD	Presentation (recorded) program leader 3GI	split GUIDE-committee
20:25-20:30	Presentation (recorded) program leader GIOT	Presentation (recorded) program leader GKC	split GUIDE-committee
20:30-21:00	Subcommittee discusses preliminary findings		

Day 2: GUIDE (30 november)			
Time	Topic	Parallel Sessions	Attendees
16:00-16:15	Opening and introduction day two		
16:15-17:00	Questions and discussion program leaders research institute GUIDE		GUIDE-committee, program leaders
	Committee: Prof Joos & Prof Brouwer	Prof Förstermann & Prof Kaasjager	

	GRIAC, PEGET, TRIGR, BDDD, MHD, GIOT	CLDM, CVC, MCB, CAPE, 3GI, GKC	
17:00-17:15	Short break (5 min) and brief deliberation subcommittee (10 min)		GUIDE-committee
17:15-18:15	Posters/presentations and talks with (5) postdocs (in Gather Town)		GUIDE-committee, postdocs GUIDE
18:15-19:00	(Dinner) Break		
19:00-19:30	Meeting and talks with assistant professors (4)		GUIDE-committee, Ass. Profs GUIDE
19.30-19:45	Short break (5 min) discussion subcommittee (10 min)		GUIDE-committee
19:40-20:10	Meeting and talks with associate professors (3) and full professors (3)		GUIDE-committee, Asc. Professors, full Professors GUIDE
20:10-21:00	Subcommittee discusses preliminary findings day two		GUIDE-committee

Day 3: GUIDE (1 december)			
Time	Topic	No parallel sessions	Attendees
16:00-16:15	Welcome by Chairman to day three		GUIDE-committee
16:15-16:45	Questions to MT GUIDE on basis of previous days		MT GUIDE, GUIDE-committee
16:45-17:00	Short break (5 min) and time for discussion subcommittee (10 min)		GUIDE-committee
17:15-18:00	Drafting and discussing conclusions subcommittee, incl. Willy Spaan		GUIDE-committee, Overall Chair

18:00-18:45	(Dinner) Break		
18:45-20:00	Drafting and discussing conclusions subcommittee, incl. Willy Spaan		GUIDE-committee, Overall Chair
20:00-21:00	Feedback to Dean, Dean of Research and MT, followed by concluding words, incl. Willy Spaan		Dean, Pro-Dean, MT, GUIDE-committee

1.4. B&C

Day 1: B&C		8 December 2021	Via MS Teams
Time	Topic	Attendees	
16:00-16:15	Welcome by Dean of Research	Dean of Research, B&C committee	
16:15-18:00	Preparations and key points	B&C committee	
18:00-18:45	(Dinner) Break		
18.45-19.00	Introduction research institute B&C	MT B&C, B&C committee	
19:00-19:45	Meeting and discussion with MT B&C	MT B&C, B&C committee	
19:45-20:00	Short break (5 min) and brief deliberation subcommittee (10 min)		
20:00-20:05	Presentation (recorded) program leader MOLAR	Program leaders B&C, B&C committee	
20:05-20:10	Presentation (recorded) program leader PCN	Program leaders B&C, B&C committee	

20:10-20:15	Presentation (recorded) program leader MD	Program leaders B&C, B&C committee
20:15-20:20	Presentation (recorded) program leader CCNP	Program leaders B&C, B&C committee
20:20-21:00	Subcommittee discusses preliminary findings	B&C committee

Day 2: B&C (9 december)		
Time	Topic	Attendees
16:00-16:15	Opening and introduction day two	
16:15-17:00	Questions and discussion program leadersresearch institute B&C	Program leaders B&C, B&C committee
17:00-17:15	Short break (5 min) and brief deliberation subcommittee (10 min)	B&C committee
17:15-18:15	Posters/presentations and talks with (5) postdocs (in Gather Town)	B&C committee, B&C postdocs
18:15-19:00	(Dinner) Break	
19:00-19:30	Meeting and talks with assistant professors (4)	B&C committee, B&C assistant professors
19.30-19:40	Short discussion break subcommittee (10 min)	
19:40-20:10	Meeting and talks with associate (3) and full professors (3)	B&C committee, B&C associate and full professors
20:10-21:00	Subcommittee discusses preliminary findings day two	B&C committee

Day 3: B&C (10 december)		
Time	Topic	Attendees
16:00-16:15	Welcome by Chairman to day three	B&C committee
16:15-16:45	Questions to MT B&C on basis of previous days	MT B&C, B&C committee
16:45-17:00	Short break (5 min) and time for discussion subcommittee (10 min)	B&C committee
17:15-18:00	Drafting and discussing conclusions subcommittee, incl. Willy Spaan	B&C committee, Prof. Spaan
18:00-18:45	(Dinner) Break	B&C committee, Prof. Spaan
18.45-20:00	Drafting and discussing conclusions subcommittee, incl. Willy Spaan	B&C committee, Prof. Spaan
20:00-21:00	Feedback to Dean, Dean of Research and MT, followed by concluding words, incl. Willy Spaan	Dean, Dean of Research, MT B&C, B&C committee, Prof Spaan

1.5. KOLFF

1.6. UMCG

Day 1: UMCG 16 December 2021		
		Via MS Teams
Time	Topic	Attendees

16:00-17:30	Committee discusses approach and findings from institute evaluations	UMCG-committee
17:30-17:45	Break	
17.45-18:30	Committee speaks with PhDs	UMCG-committee, PhDs from every institute, PhD-council(s)
18:30-19:00	(Dinner) Break	
19:00-20:00	Committee speaks to director GSMS, PhD-MT member institutes and pro-Dean	UMCG -committee, Director GSMS
20.00-21.00	Committee discusses results and conclusions PhDs	

Day 2: UMCG 17 december 2021		
Time	Topic	Attendees
16:00-16.30	Committee discusses approach and questions	UMCG-committee
16:30-17:30	Committee speaks about HR and academic culture policies	UMCG-committee, Dean, policy advisor Nicolien Wieringa
17.30-18:00	(Dinner)Break	
18:00-18.30	Committee speaks about special aspects (excl. HR)	UMCG -committee, Dean, Advisor Peter Braun, Manager Research Quality & Compliance Julissa van de Blij, Manager Research Office Barry van der Strate

18:30-19:30	Committee speaks with ERIBA and AJSPH	Director ERIBA, Director AJSPH
19:30-19:45	Break	
19:45-21:00	Committee discusses results and conclusions session 2	UMCG- committee

Day 3: UMCG 20 december 2021		
Time	Topic	Attendees
16:00-16:30	Committee discusses approach and questions	UMCG-committee
16:30-17:30	Committee discusses UMCG strategy and plans	Dean, ProDean, UMCG-committee
17:30-18:00	(Dinner) break	
18:00-19:45	Committee draws its conclusions and prepares first results	UMCG-committee
19:45-20:00	Break	
20:00-21:00	Committee presents first results and conclusions	UMCG-committee, Dean, Pro-Dean, Directors institutes, ERIBA, AJSPH, GSMS and managers

2. Quantitative data

Table 1. CRCG Research staff 2015-2020

Year	Tenured staff		Post docs		PhD-students	
	FTE	n	FTE	n	FTE	n
2015	24,3	84	15,1	18	110,1	180
2016	26,4	90	14,6	17	101,9	187
2017	26,4	91	13,7	17	108,9	195
2018	26,0	89	16,7	20	108,0	202
2019	26,2	91	18,2	21	105,5	184
2020	27,3	94	17,6	20	105,8	183

Table 2. CRCG Funding 2015-2020

	2015		2016		2017		2018		2019		2020	
	FTE	%	FTE	%	FTE	%	FTE	%	FTE	%	FTE	%
Direct funding	67.7	45%	68.0	47%	73.8	49%	74.6	48%	72.2	47%	71.9	47%
Research grants	7.3	5%	5.7	4%	6.0	4%	8.4	5%	14.7	10%	16.3	11%
Contract research	56.6	38%	55.9	39%	52.8	35%	53.8	35%	50.9	33%	46.9	31%
Self-supporting researchers	7.4	5%	7.9	5%	11.4	8%	12.3	8%	10.3	7%	12.6	8%
External researchers	10.0	7%	7.3	5%	7.0	5%	5.7	4%	5.3	3%	4.9	3%
Other - Unknown	0.7	0%	0.0	0%	0.0	0%	0.9	1%	0.9	1%	0.9	1%
Total Funding	149.5	100%	144.7	100%	150.9	100%	155.7	100%	154.3	100%	153.5	100%
Cost												
Personnel costs (€)	8,857,238		8,772,998		9,147,957		9,590,570		9,853,103		10,233,062	
Other costs (€)	3,628,296		3,593,788		3,747,387		3,928,700		4,036,244		4,191,891	
Total expenditure (€)	12,485,534		12,366,785		12,895,344		13,519,269		13,889,347		14,424,953	

Table 3. GUIDE Research staff 2015-2020

Year	Tenured staff*		Postdocs ¹		PhD candidates	
	FTE**	n	FTE**	n	FTE**	n
2015	69.1	215	36.3	57	N/A	579
2016	72.2	230	37.8	53	N/A	609
2017	76.5	248	33.3	47	N/A	627
2018	81.9	254	31.3	39	N/A	633
2019	80.8	252	30.4	38	N/A	613
2020	79.8	254	25.9	32	N/A	570

Table 4. GUIDE Funding 2015-2020.

	2015		2016		2017		2018		2019		2020	
	FTE	%	FTE	%	FTE	%	FTE	%	FTE	%	FTE	%
Direct funding	185.3	43	196.5	44	216.5	47	209.2	46	119.6	43	194.0	44
Research grants	33.0	8	34.2	8	26.3	6	33.2	7	47.5	10	51.6	12
Contract research	126.2	29	120.7	27	115.6	25	103.6	23	105.9	23	96.0	22
Self-supporting researchers	42.1	10	56.5	13	65.7	14	69.2	15	68.1	15	60.7	14
External researchers	46.4	11	42.6	9	38.1	8	37.5	8	38.9	8	39.1	9
Other - Unknown	0.7	0	0	0	0	0	0	0	0	0	0	0
Total Funding	433.6	100	450.5	100	462.1	100	452.6	100	459.9	100	441.3	100
Costs												
Personnel costs (€)	25,671,930		26,485,672		27,331,011		27,763,540		28,765,707		28,790,711	
Other costs (€)	10,516,299		10,849,642		11,195,929		11,373,111		11,783,641		11,793,883	
Total expenditure (€)	36,188,229		37,335,314		38,526,939		39,136,650		40,549,348		40,584,594	

Table 5. B&C Research staff 2015-2020

Year	Tenured staff		Post docs		PhD-students	
	FTE	n	FTE	n	FTE	n
2015	16.0	53	10.1	12	92.6	160
2016	17.6	57	12.2	14	86.5	164
2017	18.6	62	13.2	18	97.0	177
2018	20.3	67	11.3	14	104.8	190
2019	19.6	66	13.5	17	107.6	191
2020	19.0	62	17.3	20	111.8	194

Table 6. B&C Funding 2015-2020

Year	Direct funding		Research grants		Contract research		Self-supporting researchers		External researchers		Unknown		Total	
	FTE	%	FTE	%	FTE	%	FTE	%	FTE	%	FTE	%	FTE	%
2015	58.2	49%	18.0	15%	21.2	18%	9.3	8%	12.0	10%	0.0	0%	118.7	100%
2016	58.5	50%	14.6	13%	22.7	20%	7.5	6%	12.9	11%	0.0	0%	116.2	100%
2017	59.0	46%	13.4	10%	34.9	27%	10.0	8%	11.5	9%	0.0	0%	128.8	100%
2018	59.6	44%	18.2	13%	31.8	23%	13.0	9%	12.8	9%	1.1	1%	136.4	100%
2019	61.9	44%	23.8	17%	26.8	19%	12.1	9%	14.7	10%	1.4	1%	140.7	100%
2020	68.4	46%	25.0	17%	26.5	18%	11.6	8%	15.2	10%	1.4	1%	148.1	100%

Cost	2015	2016	2017	2018	2019	2020
Personnel costs (€)	6851669	6872314	7517730	8203.366	8675462	9306740
Other costs (€)	2806731	2815188	3079578	3360443	3553833	3812431
Total expenditure (€)	9658400	9687502	10597307	11563809	12229295	13119171

Table 7. SHARE Research staff 2015-2020

Year	Tenured staff		Post docs		PhD-students *	
	FTE**	N	FTE**	N	FTE**	N
2015	42,5	125	31,2	46	176,4	326
2016	51,8	150	32,4	42	201,3	356
2017	53,4	157	29,7	41	213,1	376
2018	53,8	158	33,8	44	227,4	392
2019	53,0	158	35,6	41	237,3	395
2020	52,3	154	35,8	41	235,8	399

Table 8. SHARE Funding 2015-2020

	2015		2016		2017		2018		2019		2020	
	FTE	%	FTE	%	FTE	%	FTE	%	FTE	%	FTE	%
Direct funding	110,0	44%	127,9	45%	127,6	43%	124,9	40%	129,3	40%	125,9	39%
Research grants	13,4	5%	11,7	4%	9,9	3%	17,8	6%	25,6	8%	32,5	10%
Contract research	51,6	21%	50,7	18%	57,7	19%	59,1	19%	52,8	16%	45,0	14%
Self-supporting researchers	8,6	3%	12,7	4%	16,2	5%	16,9	5%	16,6	5%	18,6	6%
External researchers	66,5	27%	82,4	29%	84,8	29%	96,3	31%	99,6	31%	97,5	30%
Other - Unknown	-	-	-	-	-	-	-	-	2,1	1%	4,4	1%
Total Funding	251,1	100%	285,4	100%	296,2	100%	315	100%	325,9	100%	329,9	100%
Costs												
Personnel costs (k€)	14.934		17.336		18.099		19.625		20.579		20.851	
Other costs (k€)	6.118		7.102		7.414		8.039		8.430		8.541	
Total expenditure (k€)	21.052		24.438		25.513		27.664		29.009		29.392	

Table 9. KOLFF Research staff 2015-2020

Year	Tenured staff		Post docs		PhD-students *	
	FTE	N	FTE	N	FTE	N
2015	20,7	73	2,7	4	N.v.t.	75
2016	22,7	80	14,6	17	N.v.t.	86
2017	22,5	80	4,5	6	N.v.t.	117
2018	23,7	86	4,5	5	N.v.t.	122
2019	24,2	86	4,5	5	N.v.t.	129
2020	24,3	88	5,2	7	N.v.t.	140

Table 10. KOLFF Funding 2015-2020

Year	Direct funding		Research grants		Contract research		Self-supporting researchers		External researchers		Unknown		Total	
	FTE	%	FTE	%	FTE	%	FTE	%	FTE	%	FTE	%	FTE	%
2015	41,9	64%	3,0	5%	6,6	10%	7,4	11%	6,6	10%	0,7	2%	65,8	100%
2016	47,9	67%	4,1	6%	5,9	8%	6,7	9%	6,1	9%	0,4	1%	71,0	100%
2017	55,3	64%	4,7	5%	10,5	12%	10,3	12%	5,4	6%	0,4	0%	86,5	100%
2018	56,2	59%	4,4	5%	12,4	13%	13,7	14%	8,0	8%	0,4	0%	95,0	100%
2019	58,0	54%	7,7	7%	14,7	14%	16,6	16%	9,1	9%	0,4	0%	106,4	100%
2020	56,5	51%	10,9	10%	14,5	13%	19,6	18%	9,7	9%	0,4	0%	111,7	100%

Table 11. UMCG - Time to completion of regular PhD trajectories

Starting year	Enrolment				Success rates												
	Total	Male		Female		Graduated in year 4 or earlier		Graduated in year 5 or earlier		Graduated in year 6 or earlier		Graduated in year 7 or earlier		Not yet finished		Discontinued	
2013	189	74	39%	115	61%	31	16%	77	41%	118	62%	138	73%	32	17%	14	7%
2014	198	72	36%	126	64%	35	18%	89	45%	125	63%	133	67%	53	27%	12	6%
2015	192	79	41%	113	59%	31	16%	79	41%	107	56%	-	-	74	39%	11	6%
2016	267	99	37%	168	63%	50	19%	93	35%	-	-	-	-	159	60%	15	6%
2017	243	99	41%	144	59%	19	8%	-	-	-	-	-	-	210	86%	14	6%
Total	1089	423	37%	666	63%									528	48	66	6